

# Minimal Model Program

## Learning Seminar

Week 8:

- Adjunction
- Inversion of adjunction
- Duality theory.

# Duality Theory.

Aim: Given a projective variety  $X$  define a sheaf  $\omega_X$

such that  $H^i(X, \mathcal{F}) \cong H^{n-i}(X, \text{Hom}_{\mathcal{O}_X}(\mathcal{F}, \omega_X))^\vee$

holds for every Cohen-Macaulay sheaf  $\mathcal{F}$ .

dualizing sheaf.

**Serre duality:**  $H^n(\mathbb{P}^n, \mathcal{F})$  is dual to  $(\mathcal{F} \text{ g-coh.})$

$\text{Hom}_{\mathbb{P}^n}(\mathcal{F}, \mathcal{O}_{\mathbb{P}^n}(k_{\mathbb{P}^n}))$  are dual vector spaces

If  $\mathcal{F}$  is locally free, then

$$H^i(\mathbb{P}^n, \mathcal{F}) \cong H^{n-i}(\mathbb{P}^n, \mathcal{O}_{\mathbb{P}^n}(k_{\mathbb{P}^n}) \otimes \mathcal{F}^*)^\vee.$$

**Dualizing sheaf:**  $\omega_X$  coherent on  $X$  with a surjection

$\text{Trace}_X: H^n(X, \omega_X) \longrightarrow \kappa$  such that for every  $\mathcal{F}$  coherent,

$\text{Trace}_X$  induces a  $\kappa$ -isomorphism:

$$\text{Hom}_{\kappa}(\mathcal{F}, \omega_X) \cong \text{Hom}(H^n(X, \mathcal{F}), \kappa).$$

The pair  $(\omega_X, \text{Trace}_X)$  is unique if it exists.

**Proposition:** Let  $f: X \rightarrow Y$  be a finite morphism.

$\mathcal{F}$  coherent sheaf on  $X$  and  $\mathcal{G}$  coherent sheaf on  $Y$ .

$f^! \mathcal{G} := \mathcal{H}om_{\mathcal{O}_X}(f^* \mathcal{O}_Y, \mathcal{G})$  with the natural  $\mathcal{O}_X$ -module structure

(1) There exists a  $f^* \mathcal{O}_Y$ -isomorphism

$$f^* \mathcal{H}om_{\mathcal{O}_X}(\mathcal{F}, f^! \mathcal{G}) \simeq \mathcal{H}om_{\mathcal{O}_Y}(f_* \mathcal{F}, \mathcal{G}).$$

(2) In particular, there is a natural  $k$ -isomorphism:

$$\mathcal{H}om_X(\mathcal{F}, f^! \mathcal{G}) = \mathcal{H}om_Y(f_* \mathcal{F}, \mathcal{G}).$$

**Proof:**  $X = \text{Spec } A$ ,  $Y = \text{Spec } B$ ,

$$M = \Gamma(X, \mathcal{F}), \quad N = \Gamma(Y, \mathcal{G}).$$

$$\mathcal{H}om_A(M, \mathcal{H}om_B(A, N)) \simeq \mathcal{H}om_B(M, N)$$

$\downarrow$   
 $\psi$

$\downarrow$   
 $\phi$

$$\psi(m) : a \mapsto \phi(am)$$

for every  $a \in A$  and  $m \in M$ .

**Proposition:** Let  $f: X \rightarrow Y$  be a finite <sup>surjective</sup> morphism of proper schemes both of pure dimension  $n$ . If  $\omega_Y$  exists, then  $\omega_X$  exists and  $\omega_X \cong f^* \omega_Y$ .

**Proof:** Define  $\omega_X := f^* \omega_Y$ .

$$\begin{aligned}
 \text{Hom}_X(\mathcal{F}, \omega_X) &\cong \text{Hom}_X(\mathcal{F}, f^* \omega_Y) \\
 &\cong \text{Hom}_Y(f_* \mathcal{F}, \omega_Y) \\
 &\cong H^n(Y, f_* \mathcal{F})^\vee \\
 &\cong H^n(X, \mathcal{F})^\vee
 \end{aligned}$$

$\omega_Y$  is a right adjoint for push-forward.  
 $\omega_Y$  is a dualizing sheaf.

$$R^i f_* \mathcal{F} = 0 \text{ for } i > 0.$$

**Corollary:**  $\omega_X$  exists and is  $S_2$  for every projective variety  $X$  over  $k$ .

**Proof:** We have  $f: X \rightarrow \mathbb{P}_k^n$  surj & finite.

$$\begin{array}{ccc}
 X & \hookrightarrow & \mathbb{P}_k^n \\
 & & \cup \\
 & & \mathbb{P}_k^{n-1} \\
 & & \vdots \\
 & & \cup \\
 & & \mathbb{P}_k^0
 \end{array}$$

$f$

$\omega_{\mathbb{P}_k^n}$  exists, hence  $\omega_X$  exists.  
 $\omega_X$  is  $S_2 \iff f_* \omega_X$  is  $S_2$ .  
 $f_* \omega_X$  is Hom to a locally free sheaf so it is  $S_2$ . □



**Corollary:**  $X$  proj scheme of pure dim  $n$  over  $k$ .

$\mathcal{F}$  is a coherent sheaf on  $X$  such that  $\text{supp } \mathcal{F}$  has pure dimension  $n$ . Then, the following conditions are satisfied:

(1) If  $\mathcal{F}$  is CM, then  $\mathcal{H}om_{\mathcal{O}_X}(\mathcal{F}, \omega_X)$  is CM

The converse is true if  $\mathcal{F}$  is a  $S_2$  sheaf.

(2) If  $X$  is  $S_2$ , then  $\mathcal{O}_X$  is CM iff  $\omega_X$  is CM

**Proof:** 1)  $f: X \rightarrow \mathbb{P}^n$  surjective and finite  $\mathcal{F}$  is  $S_2$ .

$\mathcal{F}$  is CM  $\iff f_* \mathcal{F}$  is locally free

$\mathcal{H}om_{\mathcal{O}_X}(\mathcal{F}, \omega_X)$  is CM  $\iff f_* \mathcal{H}om_{\mathcal{O}_X}(\mathcal{F}, \omega_X)$  is  $\mathcal{H}om_{\mathcal{O}_{\mathbb{P}^n}}(f_* \mathcal{F}, \omega_{\mathbb{P}^n})$  +  $S_2$ .

# Duality Theory:

**Theorem:**  $X$  projective scheme of pure dim  $n$  over a field  $k$ .

$\mathcal{F}$  a CM sheaf on  $X$  such that  $\text{supp } \mathcal{F}$  has pure dimension  $n$ .

Then, we have an isomorphism:

$$H^i(X, \mathcal{F}) \cong H^{n-i}(X, \mathcal{H}om_{\mathcal{O}_X}(\mathcal{F}, \omega_X))^\vee.$$

**Proof:**  $f: X \rightarrow \mathbb{P}^n = P$  be a finite surj morphism.

$$H^i(X, \mathcal{F}) \cong H^i(P, f_* \mathcal{F}),$$

$f_* \mathcal{F}$  is locally free since  $\mathcal{F}$  is CM, <sup>+  $\text{supp } \mathcal{F} = X$ .</sup> Serre dual for locally free.

$$H^i(P, f_* \mathcal{F}) \cong H^{n-i}(P, \mathcal{H}om_{\mathcal{O}_P}(f_* \mathcal{F}, \omega_P))^\vee$$

by Serre duality, and furthermore

$$H^{n-i}(P, \mathcal{H}om_{\mathcal{O}_P}(f_* \mathcal{F}, \omega_P)) \cong$$

← right adj.

$$H^{n-i}(P, f_* \mathcal{H}om_{\mathcal{O}_X}(\mathcal{F}, \omega_X)) \cong$$

←  $\omega_X$  is a dualizing sheaf.

$$H^{n-i}(X, \mathcal{H}om_{\mathcal{O}_X}(\mathcal{F}, \omega_X)).$$

$$H^i(X, \mathcal{F}) \text{ is dual to } H^{n-i}(X, \mathcal{H}om_{\mathcal{O}_X}(\mathcal{F}, \omega_X)).$$

**Proposition:**  $X$  projective CM scheme of pure dim  $n$ .  
 over a field  $k$  and  $D \subseteq X$  Cartier divisor. Then

$$\omega_D \cong \omega_X(D) \otimes \mathcal{O}_D.$$

**Proposition:** Let  $X$  be a normal projective variety of dim  $n$   
 over a field  $k$ . Then  $\omega_X \cong \mathcal{O}_X(K_X)$ .

**Adjunction formula:**  $X$  smooth &  $D \subseteq X$  smooth Cartier.  
 Then  $(K_X + D)|_D = K_D$ .

**Aim:** Compare singularities of  $(X, B+S)$  <sup>→ Weil. reduced</sup> log pair  
 with those of  $(S, B|_S)$ .

**Remark:** If  $S$  is Cartier in codimension two, then.

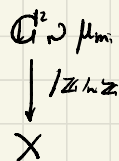
$$(K_X + B + S)|_S = K_S + B|_S.$$

If you have quotient singularities in codimension two.

$$(K_X + B + S)|_S = K_S + B|_S + \sum_{P_i \in S} (1 - \frac{1}{m_i}) P_i$$

where  $P_i$  is a cod two point of  $X$  which is orbifold of order  $m_i$ .

Shokurov  
 3-fold flip  
 S.2



**Adjunction:** If the sing of  $(X, B+S)$  are nice then the sing of  $(S, B|_S)$  are nice.

**Inv of adjunction:** If  $(S, B|_S)$  has nice sing then so does  $(X, B+S)$ .

**Proposition:**  $X$  normal,  $S$  normal Weil carrier in cod 2.

$- \in S$  closed  $B = \sum b_i B_i \geq 0$   $\mathbb{Q}$ -divisor

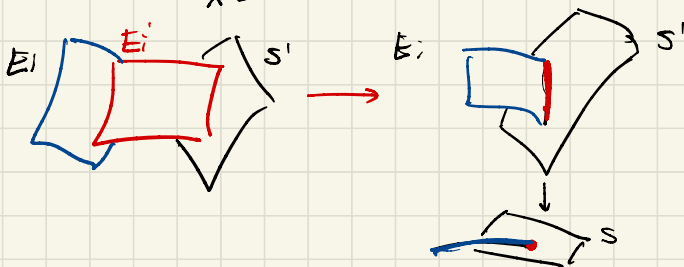
Assume  $K_X + S + B$  is  $\mathbb{Q}$ -Carrier. Then:

$$\begin{aligned} \text{total disrep}(\text{center} \in Z, S, B|_S) &\geq && (X, B+S) \\ \text{disrep}(\text{center} \in Z, X, S+B) &\geq && \text{plt} \\ \text{disrep}(\text{center} \cap S \in Z, X, S+B) &&& \Downarrow \\ &&& (S, B|_S) \text{ klt} \end{aligned}$$

**Proof:**  $f: Y \rightarrow X$  log resolution of  $(X, S+B)$

$S' = f^* S$ ,  $f^*(S+B)$  is smooth,  $E_i$  exc which intersects

$S'$  then  $\text{center}_X E_i \subseteq S$ .



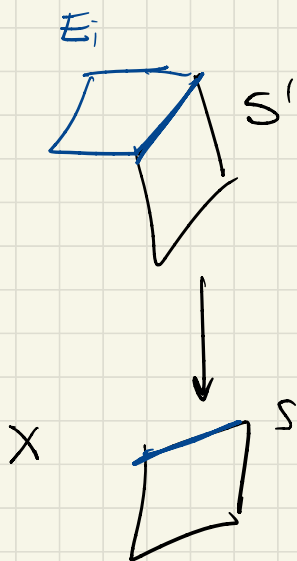
$$K_{Y+S'} = f^*(K_X + S + B) + \sum_i e_i E_i.$$

$$K_{S'} = K_{Y+S'}|_{S'} \quad \xrightarrow{\text{adjunction}} \quad K_X + S + B|_S = K_S + B|_S$$

$$K_{S'} \equiv f^*(K_S + B|_S) + \sum_i e_i (E_i \cap S').$$

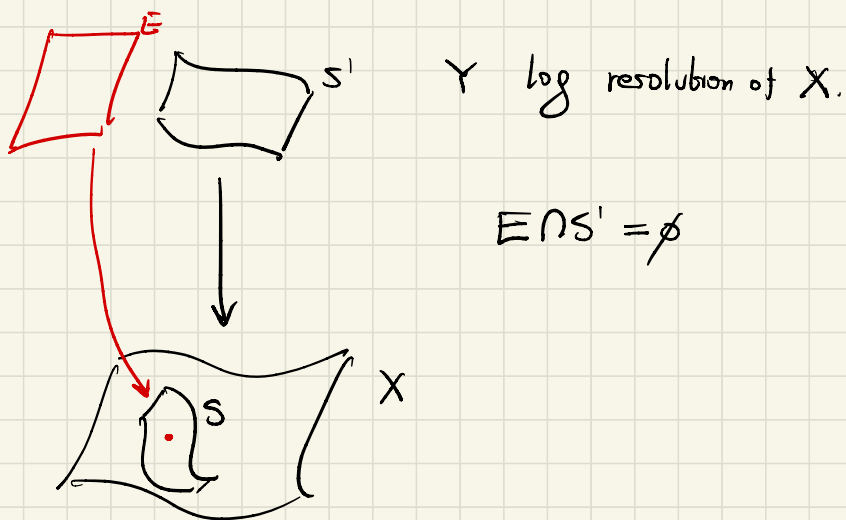
$S'$  is disjoint from  $f^{-1}B$ , hence if  $E_i \cap S' \neq \emptyset$ , then  $E_i$  is  $f$ -exc and  $\text{center}_X E_i \subseteq S$ .

Hence, we showed that every log discr. which happens in  $S' \rightarrow S$  also happens in  $Y \rightarrow X$  in such a way that the center lies inside  $S$ .  $\square$



If  $E_i$  is exc over  $X$ , then  $E_i \cap S'$  may not be exc over  $S$ .

**Problem:** Discrep of  $(S, B|_S)$  are one bit those of  $(X, B+S)$  are bad.  $E$  exceptional over  $X$  with  $\alpha_E(X, B+S) < 0$ .



**Philosophy:** In a Fano object, the locus which is most singular is connected.

The theorem that realizes principle is called Kollár - Shokurov connectedness Theorem.

Definition:  $(X, B)$  a log pair.

$Z \subseteq X$  is a **log canonical center** if there exists

- $E$  over  $X$  with
- $\alpha_E(X, B) = 0$
  - $C_E(X) = Z$ .

We denote by  $LCC(X, B)$  the union of all lcc's.  
 $\bar{L}$  is a closed subset.

Theorem (Kollár - Shokurov connectedness):

$X \rightarrow Z$  proj morphism,  $(X, B)$  lc,  $-(K_X + B)$   
ample over  $Z$ , then  $LCC(X, B)$  is connected over  $Z$ .

$\mathbb{Q}$ -trivial

big + nef  
 suffices.

at most two comp

Example:



$\mathbb{P}^1$

$$\deg K_{\mathbb{P}^1} = -2.$$

$$b_1 + b_2 + b_3 < 2$$

$$b \rightarrow 1.$$

$$b = 1.$$

Remark: field  $k$  is  $C_1$  is every homogeneous  $f(x_0, \dots, x_n) \in k[x_0, \dots, x_n]$   
 of  $\deg \leq n$  has a non-trivial zero. (Fano variety)

PAC: every geometrically integral  $k$ -var has a  $k$ -point.

Ax: Is every PAC field of char 0  $C_2$ ? Yes.

**Theorem:** Let  $g: Y \rightarrow X$  be a proper birational morphism.

$Y$  smooth,  $X$  normal. Let  $D = \sum_i d_i D_i$  snc  $\mathbb{Q}$ -divisor on  $Y$ .

such that  $g_* D \geq 0$  and  $-(K_Y + D)$  is  $g$ -nef. Write

$$A = \sum_{i: d_i < 1} d_i D_i \quad \& \quad F = \sum_{i: d_i \geq 1} d_i D_i.$$

Then  $\text{Supp } F = \text{supp } LF_1$  is connected in a neighborhood of any fiber of  $g$ .

**Proof:**  $(FA1) - LF_1 = K_Y - g^*(K_X + D) + (A) + (F)$ .

By KV vanish  $R^i g_* \mathcal{O}_Y((FA1) - LF_1) = 0$

$$0 \rightarrow \mathcal{O}_Y((FA1) - LF_1) \rightarrow \mathcal{O}_Y(FA1) \rightarrow \mathcal{O}_{LF_1}(FA1) \rightarrow 0$$

↑ connected.

$$g_* \mathcal{O}_Y(FA1) \longrightarrow g_* \mathcal{O}_{LF_1}(FA1).$$

"  $\mathcal{O}_X$

↪ will have  $\geq 2$  non-trivial summands

$(FA1)$  is  $g$ -exceptional, eff  $\Rightarrow g_* \mathcal{O}_Y(FA1) = \mathcal{O}_X$ .

$g_* D \geq 0$

$LF_1 = F_1 \cup F_2$

 in a neighborhood of  $g^{-1}(x)$ .

$$g_* \mathcal{O}_{LF_1}(FA1)_{(x)} \simeq g_* \mathcal{O}_{F_2}(FA1)_{(x)} + g_* \mathcal{O}_{F_1}(FA1)_{(x)}$$

$\mathcal{O}_{X,x} \xrightarrow{\quad} \quad \leftarrow \quad$

□



**Theorem:** Let  $X$  be a normal variety and  $S \subseteq X$  normal Weil divisor which is Cartier in cod 2.

$B \geq 0$   $\mathbb{Q}$ -divisor such that  $K_X + S + B$  is  $\mathbb{Q}$ -Cartier. Then:

(1)  $(X, S+B)$  is plt near  $S$  iff  $(S, B|_S)$  is klt

(2) Assume in addition  $B$  is  $\mathbb{Q}$ -Cartier and  $S$  is klt.

Then  $(X, S+B)$  is lc near  $S$  iff  $(S, B|_S)$  is lc

**Proof:**  $\implies$  is a previous prop.

We need to prove  $\impliedby$   $g: Y \rightarrow X$  log resolution of  $(X, S+B)$ .

$$K_Y + D \equiv g^*(K_X + S + B), \quad S' = g^*S, \quad F = S' + F'$$

where  $F'$  contains a comp of coeff  $\geq 1$ .

$$\text{By adjunction } K_{S'} = g^*(K_S + B|_S) + (A - F')|_S.$$

$(X, B+S)$  is plt near  $S$  iff  $F' \cap g^{-1}(S) = \emptyset$ .

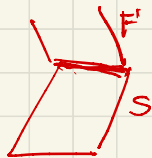
$(S, B|_S)$  is klt iff  $(F')^{\geq 1} \cap S' = \emptyset$ .

By  $K_S$  connectedness,  $x \in S$ , there exists  $U_x \subseteq X$  s.t.

$(S' \cup (F')^{\geq 1}) \cap g^{-1}(U_x)$  is connected,

hence  $F' \cap g^{-1}(U_x) = \emptyset$ , moving  $x$  around  $S$

we finish the proof of the statement.  $\square$ .



Adjunction to higher codimension centers:

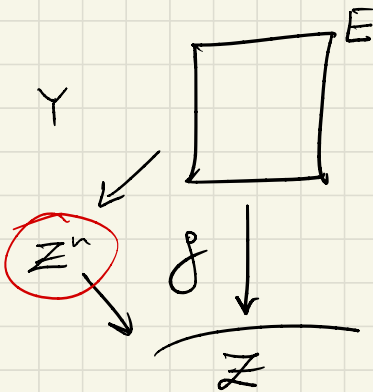
$$(X, S+B) \text{ sing} \iff (S, B|_S) \text{ sing}$$

$(X, B)$ ,  $Z$  is a log canonical center of  $(X, B)$ .

$$\text{codim}(Z, X) = 1.$$

$$\text{codim}(Z, X) \geq 2.$$

define  $(Z, B_Z)$  such that the sing of  $(X, B)$  around  $Z$  can be compared to those of  $(Z, B_Z)$ ?



$$g^*(K_X + B)|_E = K_Y + B_Y + E|_E$$

$$\parallel$$

$$K_E + B_E.$$

is trivial over  $Z$ .

$$K_E + B_E \sim \mathcal{O}_E \otimes \mathcal{O}_Z.$$

we want to write

$$K_E + B_E = g^*(K_Z + B_Z + M_Z).$$

measures the sing of the fibration

measures the variation of moduli.